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# TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Application Number	09/696,693
Filing Date	October 25, 2000
First Named Inventor	William Fitzpatrick et al.
Group Art Unit	2161
Examiner Name	Not yet assigned
Attorney Docket Number	4034-48

## ENCLOSURES (check all that apply)

- |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                         |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                        |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                    |
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Remarks

## SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual name	Leslie Gladstone Restaino, Reg. No. 38,893
Signature	<i>Leslie S. Restaino</i>
Date	January 17, 2001

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PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: William Fitzpatrick et al. Docket No.: 4034-48  
Serial No. 09/696,693 Group No.: 2161  
Filed: October 25, 2000 Examiner: Not yet assigned  
For: SYSTEM FOR PROVIDING FINANCIAL SERVICES

Assistant Commissioner for Patents  
Washington, D.C. 20231

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- Attached is a copy of the official filing receipt received from the PTO in the above application for which issuance of a corrected filing receipt is respectfully requested.
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Error in

- ☐ Applicant's name
- ☐ Applicant's address
- ☒ Title
- ☐ Filing Date
- ☐ Serial Number
- ☒ Other—Applicant's claim benefit to:

Correct Data

- 
- John Furlong, Plandome, NY
- System for Providing Financial Services
- 
- 
- 60/182,364, filed on 2/14/00

Reg. No.: 38, 893

Tel. No.: (973) 538-0800

Customer No.:

Leslie Gladstone Restaino  
SIGNATURE OF PRACTITIONER

Leslie Gladstone Restaino

(type or print name of practitioner)

One Speedwell Avenue, Morristown, NJ 07962

P.O. Address

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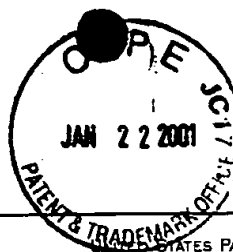
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APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/696,693	10/25/2000	2161	790	4034-48	3	16	4

## FILING RECEIPT



\*OC000000005652551\*

Riker Danzig Scherer Hyland & Perretti LLP  
Headquarters Plaza  
One Speedwell Avenue  
Morristown, NJ 07962

Date Mailed: 01/04/2001

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the PTO processes the reply to the Notice, the PTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

## Applicant(s)

William Fitzpatrick, Howell, NJ ;  
John Furlong, Plandome, NJ ;  
Robert Mauer, Levittown, NY ;  
David Hummelberg, Basking Ridge, NJ ;  
Kathy Fahey, Stamford, CT ;  
Mark DiStaulo, Jersey City, NJ ;

## Continuing Data as Claimed by Applicant

## Foreign Applications

If Required, Foreign Filing License Granted 01/03/2001

## Title

System for providing financial services

## Preliminary Class

705

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MAY 16 2001  
TC 2100 MAILROOM

Data entry by : RORIE, DEANNA

Team : OIPE

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Title 37, Code of Federal Regulations, 5.11 & 5.15**

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